

UTILITY PATENT APPLICATION TRANSMITTAL—NO FEE

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the

- ☒ patent application of
☐ continuation application of
☐ continuation-in-part patent application of

- ☐ divisional application of
☐ prior application information: Examiner _____
☐ _____

17858 U.S. PTO
10/688868
101703

Inventor(s)/Applicant Identifier: **David R. Parks et al.**

For: **METHODS AND SYSTEMS FOR DATA ANALYSIS**

- ☐ For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can be relied upon when a portion has been inadvertently omitted from the submitted application parts.
- ☒ This application claims priority from each of the following Application Nos./filing dates: 60/419,458, filed October 18, 2002, the disclosure(s) of which is (are) incorporated by reference.
- ☐ Please amend this application in accordance with the enclosed preliminary amendment, which adds the following before the first sentence: "This application is a ☐ continuation ☐ divisional ☐ continuation-in-part of and claims the benefit of U.S. Application No. _____, the disclosure of which is incorporated by reference for all purposes."

Enclosed are:

- ☒ 191 pages of the description (including specification, claims, abstract and appendix)
- ☒ 30 sheet(s) of ☐ formal ☒ informal drawing(s)
- ☒ 01 abstract
- ☒ 26 number of claims
- ☒ a ☐ signed ☒ unsigned Declaration
- ☒ "Copy 1" compact disc and "Copy 2" compact disc containing a computer program listing each enclosed in a case
- ☒ Compact Disc Transmittal Letter
- ☒ cover sheet
- ☐ Information Disclosure Statement under 37 CFR 1.97
- ☒ Application Data Entry Sheet
- ☐ Request to not publish application after 18-months form
- ☐ notification of change of ☐ power of attorney ☐ correspondence address filed in prior application
- ☒ Patent Application Filing Acknowledgement postcard.
- ☒ 1 extra copy of this sheet is enclosed
- ☐ a verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27
() is enclosed
() was filed in the prior application and small entity status is still proper and desired
- ☒ Small Entity Status is Claimed
- ☒ A hard copy appendix of the computer program listing (155 Pages)

In view of the Unsigned Declaration as filed with this application and pursuant to 37 CFR §1.53(f),
Applicant requests deferral of the filing fee until submission of the Missing Parts of Application.

DO NOT CHARGE THE FILING FEE AT THIS TIME.,

Christopher C. Sappenfield
Christopher C. Sappenfield
Reg No.: 45,073
Applicant's Representative

CORRESPONDENCE ADDRESS:
QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.
P.O. Box 458
Alameda, CA 94501
Telephone: (510) 337-7871
Fax: (510) 337-7877

Customer No. 22798



22798

PATENT TRADEMARK OFFICE

Attorney Docket No. 10-000210US
Client Reference No. S02-263
Express Mail Label No. EV 297622292 US
Date of Deposit: October 17, 2003
I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
By: *Tracie Brooks*
Tracie Brooks

Express Maill Label No.: EV 297622292 US

Date of Deposit: October 17, 2003

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to: Commissioner for Patents, Mail Stop Patent Application, Alexandria, VA 22313-1450,

By: Tracie Brooks

Tracie Brooks

Attorney Docket No. 10-000210US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

David R. Parks, et al.

Application No.: Not Yet Known

Filed: October 17, 2003

For: METHODS AND SYSTEMS FOR
DATA ANALYSIS

Examiner: Unassigned

Art Unit: Unassigned

COMPACT DISC TRANSMITTAL
LETTER

Attn:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant hereby submits 2 identical compact discs containing the following

file(s):

Machine format: IBM-PC

Operating system: MS-Windows

List of files, each saved to disc on October 17, 2003 (names and sizes in bytes):

Parks_et_al_1.txt (4608 bytes)
Parks_et_al_2.txt (4608 bytes)
Parks_et_al_3.txt (10240 bytes)
Parks_et_al_4.txt (11776 bytes)
Parks_et_al_5.txt (15872 bytes)
Parks_et_al_6.txt (21504 bytes)
Parks_et_al_7.txt (22528 bytes)
Parks_et_al_8.txt (30208 bytes)
Parks_et_al_9.txt (34304 bytes)
Parks_et_al_10.txt (42496 bytes)
Parks_et_al_11.txt (1536 bytes)
Parks_et_al_12.txt (7168 bytes)
Parks_et_al_13.txt (8704 bytes)

David R. Parks, et al.
Application No.: Not Yet Known
Page 2

Parks_et_al_14.txt (11264 bytes)
Parks_et_al_15.txt (52224 bytes)
Parks_et_al_16.txt (1536 bytes)
Parks_et_al_17.txt (1536 bytes)
Parks_et_al_18.txt (5120 bytes)
Parks_et_al_19.txt (6656 bytes)

Respectfully submitted,



Christopher C. Sappenfield
Reg. No. 45,073

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.
P.O. BOX 458
Alameda, CA 94501
(510) 337-7871
Fax (510) 337-7877

CCS:db